

Docket No.: 59684-012

*Section 3*  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Kazunori UKIGAWA, et al.

Serial No.: 09/666,086

Filed: September 21, 2000

For: INFORMATION SERVER SYSTEM

:  
:  
:  
:  
:  
:  
:

Group Art Unit:

Examiner:



**RESPONSE TO NOTICE  
OF MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

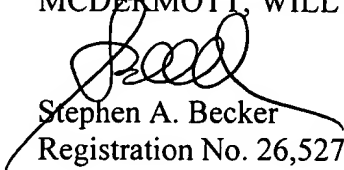
In response to the Notice of Missing Parts of Application dated November 13, 2000,  
submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Statutory Basic filing fee of \$710.00
3. Late Filing Fee Surcharge of \$130.00
4. Additional claim fee of \$480.00
5. English translation of Specification and Drawings and late filing surcharge of  
\$130.00
6. Assignment
7. Certified Priority Documents
8. Request for Corrected Filing Receipt

Please charge Deposit Account No. 500417 in the amount of \$1,450.00. To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



Stephen A. Becker  
Registration No. 26,527

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 SAB:klm  
**Date: January 12, 2001**  
Facsimile: (202) 756-8087



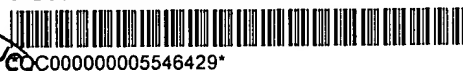
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

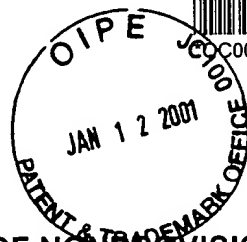
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/666,086	09/21/2000	Kazunori Ukigawa	59684-012

McDermott Will & Emery  
600 13th Street NW  
Washington, DC 20005-3096

## FORMALITIES LETTER



C000000005546429\*



Date Mailed: 11/13/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit ~~\$600~~ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).* *\$710.00*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

*ADDITIONAL CLAIM FEE OF \$480.00*

- The balance due by applicant is \$ 950.

*\$1,450.00*

*A copy of this notice MUST be returned with the reply.*

*B. Monroe*  
Customer Service Center

01/16/2001 SDUONG 00000035 500417 09666086  
01 FC:101 710.00 CH  
02 FC:105 130.00 CH  
03 FC:102 480.00 CH  
01/16/2001 SDUONG 00000035 500417 09666086  
04 FC:139 130.00 CH

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Docket No.: 59684-012

  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of :

Kazunori UKIGAWA, et al. :

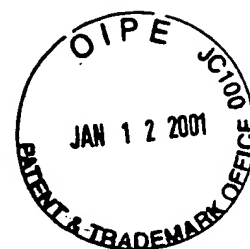
Serial No.: 09/666,086 :

Group Art Unit:

Filed: September 21, 2000 :

Examiner:

For: INFORMATION SERVER SYSTEM



**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
Washington, DC 20231

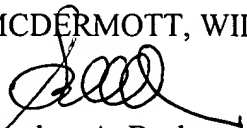
Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the priority information does not appear on the filing receipt. Attached are copies of the Claim of Priority and Declaration and Power of Attorney, which evidence that this application claims priority from **Japanese Patent Application No. 11-267248, filed September 21, 1999 and Japanese Patent Application No. 2000-235087, filed August 3, 2000.**

Additionally, please correct the filing receipt to reflect **9 independent claims and 20 total claims**. It is requested that a corrected filing receipt be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

  
Stephen A. Becker  
Registration No. 26,527

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 SAB:klm  
**Date: January 12, 2001**  
Facsimile: (202) 756-8087



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/666,086	09/21/2000		0	59684-012	11		

McDermott Will & Emery  
600 13th Street NW  
Washington, DC 20005-3096

RECEIVED

NOV 15 2000

McDermott, Will &amp; Emery

## FILING RECEIPT



\*000000005546428\*

JAN 12 2001

Date Mailed: 11/13/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kazunori Ukigawa, Residence Not Provided;  
Hiroki Yamashita, Residence Not Provided;  
Akira Yamada, Residence Not Provided;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 11/09/2000

## Title

Information server system

## Preliminary Class

Data entry by : MONROE, BEULAH

Team : OIPE

Date: 11/13/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231





Docket No.: 59684-012

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Kazunori UKIGAWA, et al.

Serial No.: 09/666,086

Filed: September 21, 2000

For: INFORMATION SERVER SYSTEM

Group Art Unit:

Examiner:



**TRANSMITTAL OF CERTIFIED PRIORITY DOCUMENTS**

Honorable Commissioner for Patents and Trademarks  
Washington, D. C. 20231

Sir:

At the time the above application was filed, priority was claimed based on the following applications:

Japanese Patent Application No. 11-267248, filed September 21, 1999


and

Japanese Patent Application No. 2000-235087, filed August 3, 2000

A copy of each priority application listed above is enclosed.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

  
Stephen A. Becker

Registration No. 26,527

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 SAB:klm  
**Date: January 12, 2001**  
Facsimile: (202) 756-8087